

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/798,682	03/11/2004	Joel A. Schwartz	10189-002001	6249	
26161 75	590 12/10/2009		EXAMINER		
FISH & RICHARDSON PC P.O. BOX 1022					
	S, MN 55440-1022		ART UNIT	PAPER NUMBER	
				•	

DATE MAILED: 12/10/2009

Please find below and/or attached an Office communication concerning this application or proceeding.

## Notification of Non-Compliant Appeal Brief (37 CFR 41.37) Examiner

Application No.	Applicant(s)		
10/798,682	SCHWARTZ, JOEL A.		
Examiner	Art Unit		
BRANON C. PAINTER	3635		

The Appeal Brief filed on <u>17 September 2009</u> is defective for failure to comply with one or more provisions of 37 CFR 41.37.

To avoid dismissal of the appeal, applicant must file anamended brief or other appropriate correction (see MPEP 1205.03) within **ONE MONTH or THIRTY DAYS** from the mailing date of this Notification, whichever is longer. **EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136.** 

1. 🗌	The brief does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper heading or in the proper order.
2. 🛛	The brief does not contain a statement of the status of all claims, (e.g., rejected, allowed, withdrawn, objected to, canceled), or does not identify the appealed claims (37 CFR 41.37(c)(1)(iii)).
3. 🛛	At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 41.37(c)(1)(iv)).
4.	(a) The brief does not contain a concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page and line number and to the drawings, if any, by reference characters; and/or (b) the brief fails to: (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function under 35 U.S.C. 112, sixth paragraph, and/or (2) set forth the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification by page and line number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(v)).
5. 🗌	The brief does not contain a concise statement of each ground of rejection presented for review (37 CFR 41.37(c)(1)(vi))
6. 🗌	The brief does not present an argument under a separate heading for each ground of rejection on appeal (37 CFR 41.37(c)(1)(vii)).
7. 🛛	The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 41.37(c)(1)(viii)).
8.	The brief does not contain copies of the evidence submitted under 37 CFR 1.130, 1.131, or 1.132 or of any other evidence entered by the examiner <b>and relied upon by appellant in the appeal</b> , along with a statement setting forth where in the record that evidence was entered by the examiner, as an appendix thereto (37 CFR 41.37(c)(1)(ix)).
9. 🗌	The brief does not contain copies of the decisions rendered by a court or the Board in the proceeding identified in the Related Appeals and Interferences section of the brief as an appendix thereto (37 CFR $41.37(c)(1)(x)$ ).
10.🛛	Other (including any explanation in support of the above items):
	See Continuation Sheet.

Branon Painter Examiner Art Unit: 3635 /Basil Katcheves/ Primary Examiner, Art Unit 3635

<sup>--</sup>The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

## **Continuation Sheet (PTOL-462)**

**Application No. 10/798,682** 

Continuation of 10. Other (including any explanation in support of the above items): Status of Claims: Please remove the footnote regarding simultaneously filed amendments.

Status of Amendments: Please updated to include the advisory actions mailed 12/2 and 12/3, as well as the forthcoming advisory action regarding the amendment clarifying the dependency of claim 9.

The claims are defective, as claim 9 depends from a cancelled claim. This dependency should be fixed with an amendment entered upon receipt of this notification.

The evidence appendix is blank. It should include only a statement of "None."

After filing the amended claims, and receiving a responsive advisory action, applicant should file a corrected Appeal Brief.